Form I: Assets Statement

**I - Assets Statement (Tab I)**

[Assets Statement must describe financial status within the last twelve months and must be dated and signed.]

Principal or Individual whose assets are described below:

**1. Personal Information**

Name:

Business Name:

Business Phone:

Residence Address:

City:

State:

Zip Code:

Business Address:

City:

State:

Zip Code:

Position (Title):

Years of Service:

Salary:

Bonus/Commission:

Other Income:

Source of Other Income:

Are you a defendant in any lawsuits or legal action that may impact your financial standing?

If so, please describe:

Do you have any contingent liabilities?

If so, please describe:

**2. Statement of Financial Condition**

|  |  |  |  |
| --- | --- | --- | --- |
| **Assets** | **Dollars (omit cents)** | **Liabilities** | **Dollars (omit cents)** |
| Cash On Hand and in Banks |  | Notes Payable to Banks  Secured |  |
| Notes Receivable |  | Notes Payable to Banks  Unsecured |  |
| Mortgages Owned |  | Notes Payable to Others *Secured* |  |
|  |  | Notes Payable to Others *Unsecured* |  |
| Marketable Securities Owned  See **Schedule A** |  | Debt Balances in Margin  Accounts with Brokers |  |
| Real Estate Owned |  | Mortgages on Real Estate |  |
| Cash Value of Life Insurance |  | Loans Against Life Insurance |  |
| Other Assets\* (Itemize) |  | Other Liabilities (Itemize) |  |
| **Total Assets** |  | **Total Liabilities** |  |
|  |  | **Net Worth** |  |

\* Any interest in a closely held business must be documented by providing a current balance sheet for that business and stating the percent of interest held by the applicant.

**Schedule A: Marketable Securities Owned**

List separately and check (X) next to those pledged as collateral.

|  |  |  |
| --- | --- | --- |
| Marketable Securities Owned | Dollars  (Omit Cents) | Collateral? |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

**3. Signature Page**

You, the undersigned hereby represent the above to be a true and accurate Statement signed as of the date herein.

Name of Principal:

Signature of Individual:

Print Name and Title of Individual:

Date: